

## Privileged/Confidential

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## FACSIMILE TRANSMITTAL SHEET

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|---------------|--------------------------------|-------------------------------------|----------------|
| TO:           | US Patent and Trademark Office | FROM:                               | Larry Oremland |
| COMPANY:      | US Patent and Trademark Office | DATE:                               | 5/15/2007      |
| FAX NUMBER:   | 1-571-273-8300                 | TOTAL NO. OF PAGES INCLUDING COVER: | 5              |
| PHONE NUMBER: |                                | SENDER'S REFERENCE NUMBER:          | 6201.116US     |
| RE:           | Serial Number 10/571,214       | YOUR REFERENCE NUMBER:              |                |

☒ URGENT    ☐ FOR REVIEW    ☐ PLEASE COMMENT    ☒ PLEASE REPLY    ☐ PLEASE RECYCLE

## NOTES/COMMENTS:

Commissioner

Attached please find a power of attorney, and statement under 37 CFR 3.73 (b) regarding this application.

Thank you.

*Lawrence R Oremland*    Reg No 27,046  
Larry Oremland

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PTO/SB/92 (01-06)

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**REVOCATION OF POWER OF  
ATTORNEY WITH  
NEW POWER OF ATTORNEY  
AND  
CHANGE OF CORRESPONDENCE ADDRESS**

|                        |                |
|------------------------|----------------|
| Application Number     | 10/571,214     |
| Filing Date            | 03/09/2006     |
| First Named Inventor   | John Madocks   |
| Art Unit               | To be assigned |
| Examiner Name          | To be assigned |
| Attorney Docket Number | 8201.118US     |

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

80717

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with  
Customer Number:

80717

OR

☐ Firm or  
Individual Name

Address

City

State

Zip

Country

Telephone

Email

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Signature

Name

John Madocks, President, Applied Process Technologies, Inc.

Date

May 15 2007

Telephone

520 882-5100

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 2 forms are submitted.

This collection of information is required by 37 CFR 1.38. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 36 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1460, Alexandria, VA 22313-1460. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1460.

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: John Madocks

Application No./Patent No.: 10/571,214 Filed/Issue Date: 03/09/2006

Entitled: Penning Discharge Plasma Source

Applied Process Technologies, Inc.

(Name of Assignee)

a Corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

In the patent application/patent identified above by virtue of either:

- A ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.06)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

John Madocks  
Signature  
John Madocks  
Printed or Typed Name

May 15 2007  
Date  
520-977-0557  
Telephone Number

\_\_\_\_\_  
President  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# ASSIGNMENT

This Assignment is made and executed this 14 day of May, 2007, by and between:

JOHN MADOCKS  
an individual residing at  
821 North Treat Avenue  
Tucson, Arizona 85716

)  
) Hereinafter referred  
) to as "ASSIGNOR"  
)  
)

and

APPLIED PROCESS TECHNOLOGIES, INC. )  
an Arizona Corporation having its )  
principal place of business at )  
546 E. 25<sup>th</sup> Street )  
Tucson, Arizona 85713 )  
\_\_\_\_\_ )

) Hereinafter referred  
) to as "ASSIGNEE"

# WITNESSETH

WHEREAS, ASSIGNOR is the sole inventor of the invention described and claimed in the application for United States Letters Patent filed March 9, 2006, assigned Serial No. 10/571,214 and entitled "MAGNETIC MIRROR PLASMA SOURCE AND METHOD USING SAME" hereinafter referred to as "PATENT RIGHTS;"


WHEREAS, ASSIGNOR has agreed to assign all right, title and interest in and to the above identified PATENT RIGHTS to ASSIGNEE;

NOW, THEREFORE, in consideration of Ten Dollars (\$10.00) and other valuable consideration, the receipt of which is hereby acknowledged:

1. ASSIGNOR does hereby sell and assign to ASSIGNEE, its successors and assigns, the entire right, title and interest in and to the invention described and claimed in the above identified PATENT RIGHTS, including (a) any and all domestic and foreign patents and applications therefore based upon said invention; (b) any and all divisions, reissues, continuations, continuations-in-part, and extensions based upon the above-identified patents and applications therefore; and (c) any and all rights of priority resulting from the filing of any of the above-identified patent applications in the United States or any foreign country.

2. ASSIGNOR hereby authorizes and requests any official whose duty it is to issue patents to issue any patent or any reissued patent which may be based upon the invention described and claimed in the above-identified PATENT RIGHTS to ASSIGNEE or its successors or assigns.

3. ASSIGNOR hereby agrees upon request and without further consideration, but at the expense of ASSIGNEE: (a) to communicate to ASSIGNEE, or its representatives or nominees, any facts known to ASSIGNOR relating to the above-identified PATENT RIGHTS; (b) to testify in any legal proceeding relating to the above-identified PATENT RIGHTS; (c) to sign and execute all lawful papers and to make all lawful oaths relating to the above-identified PATENT RIGHTS, including documents relating to divisional, continuing, reissue and foreign applications; and (d) to perform all acts reasonably necessary to aid ASSIGNEE, its successors, assigns and nominees in obtaining and enforcing patent protection in the United States and foreign countries covering those invention described and claimed in the above-identified PATENT RIGHTS.

  
John Madocks

STATE OF ARIZONA )  
 ) ss.  
County of Pima )

On this \_\_\_\_\_ day of May, 2007, before me the undersigned, personally appeared John Madocks, known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the foregoing instrument for the purposes therein contained.

**Notary Public**

**My commission expires:**

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